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Congressional Testimony

Testimony on strengthening SEC's enforcement responsibilities before the Subcommittee on Securities, Insurance, and Investment, Committee on Banking, Housing and Urban Affairs, U.S. Senate (May 7, 2009)

Testimony on the 401(k) Fair Disclosure for Retirement Security Act of 2009, before the before the Subcommittee on Health, Employment, Labor, and Pensions, Committee on Labor and Education, U.S. House of Representatives (Apr. 22, 2009)

Testimony on the regulation of investment advisory services provided to defined contribution plan participants before the Subcommittee on Health, Employment, Labor, and Pensions, Committee on Labor and Education, U.S. House of Representatives (Mar. 24, 2009)

Testimony on enhancing investor protection and the regulation of the securities markets before the Committee on Banking, Housing and Urban Affairs, U.S. Senate (Mar. 10, 2009)

Testimony on 401(k) fee disclosure before the Special Committee on Aging, U.S. Senate (Oct. 24, 2007)

Testimony on hedge fund regulation, before the Subcommittee on Domestic Policy, Committee on Oversight and Government Reform, U.S. House of Representatives (July 11, 2007)

Testimony on 529 plan regulation, before the Subcommittee on Financial Management, the Budget and International Security, Committee on Governmental Affairs, U.S. Senate (Sep. 30, 2004)

Testimony on financial products sold to military personnel, before the Subcommittee on Capital Markets, Insurance and Government Sponsored Enterprises, Committee on Financial Services, U.S. House of Representatives (Sep. 9, 2004)

Testimony on 529 plan regulation, before the Subcommittee on Capital Markets, Insurance and Government Sponsored Enterprises, Committee on Financial Services, U.S. House of Representatives (June 2, 2004)

Testimony on the need for mutual fund legislation, before the Committee on Banking, Housing and Urban Affairs, U.S. Senate (Mar. 23, 2004)

Testimony on the mutual fund scandal, before the Subcommittee on Capital Markets,

Insurance and Government Sponsored Enterprises, Committee on Financial Services, U.S. House of Representatives (Nov. 4, 2003)

Testimony on the mutual fund scandal, before the Subcommittee on Financial Management, the Budget and International Security, Committee on Governmental Affairs, U.S. Senate (Nov. 3, 2003)

Testimony on The Mutual Funds Integrity and Fee Transparency Act of 2003, before the Subcommittee on Capital Markets, Insurance and Government Sponsored Enterprises, Committee on Financial Services, U.S. House of Representatives (June 18, 2003)