

Mercer Bullard

Congressional Testimony

Testimony on legislative proposals to increase access to capital before the Committee on Banking, Housing and Urban Affairs, U.S. Senate (June 26, 2018)

Testimony on legislation regarding closed-end funds and business development companies before the Subcommittee on Capital Markets and Government Sponsored Enterprises, Committee on Financial Services, U.S. House of Representatives (Nov. 3, 2017)

Testimony on HR 1090 and proposed Department of Labor rules relating to fiduciary duties of broker-dealers before the Subcommittees on Capital Markets and Government Sponsored Enterprises, and Oversight and Investigations, Committee on Financial Services, U.S. House of Representatives (Sep. 10, 2015)

Testimony on various bills relating to public offerings and research reports before the Subcommittee on Capital Markets and Government Sponsored Enterprises, Committee on Financial Services, U.S. House of Representatives (May 13, 2015)

Testimony on rules proposed by SEC to implement crowdfunding legislation, Subcommittee on Investigations, Oversight and Regulations, Committee on Small Business, U.S. House of Representatives (Jan. 16, 2014)

Testimony on legislative proposals to relieve the red tape burden on investors and job creators, Subcommittee on Capital Markets and Government Sponsored Enterprises, Committee on Financial Services, U.S. House of Representatives (May 23, 2013)

Testimony on the 10th anniversary of the Sarbanes-Oxley Act of 2002 before the Subcommittee on Capital Markets and Government Sponsored Enterprises, Committee on Financial Services, U.S. House of Representatives (July 26, 2012)

Testimony on cost-benefit analysis in SEC rulemaking before the Subcommittee on TARP, Financial Services and Bailouts of Public and Private Programs, Committee on Oversight and Government Reform, U.S. House of Representatives (Apr. 17, 2012)

Testimony on crowdfunding regulation before the Subcommittee on TARP, Financial Services and Bailouts of Public and Private Programs, Committee on Oversight and Government Reform, U.S. House of Representatives (Sep. 15, 2011)

Testimony on money market fund regulation before the Subcommittee on Capital Markets and Government Sponsored Enterprises, Committee on Financial Services, U.S. House of Representatives (June 24, 2011)

Testimony on competition and consolidation in financial markets before the Subcommittee on Intellectual Property, Competition and the Internet, Committee on the Judiciary, U.S. House of Representatives (Apr. 1, 2011)

Testimony on investor protection before the Committee on Financial Services, U.S. House of Representatives (Oct. 6, 2009)

Testimony on strengthening SEC's enforcement responsibilities before the Subcommittee on Securities, Insurance, and Investment, Committee on Banking, Housing and Urban Affairs, U.S. Senate (May 7, 2009)

Testimony on the 401(k) Fair Disclosure for Retirement Security Act of 2009, before the before the Subcommittee on Health, Employment, Labor, and Pensions, Committee on Labor and Education, U.S. House of Representatives (Apr. 22, 2009)

Testimony on the regulation of investment advisory services provided to defined contribution plan participants before the Subcommittee on Health, Employment, Labor, and Pensions, Committee on Labor and Education, U.S. House of Representatives (Mar. 24, 2009)

Testimony on enhancing investor protection and the regulation of the securities markets before the Committee on Banking, Housing and Urban Affairs, U.S. Senate (Mar. 10, 2009)

Testimony on 401(k) fee disclosure before the Special Committee on Aging, U.S. Senate (Oct. 24, 2007)

Testimony on hedge fund regulation, before the Subcommittee on Domestic Policy, Committee on Oversight and Government Reform, U.S. House of Representatives (July 11, 2007)

Testimony on 529 plan regulation, before the Subcommittee on Financial Management, the Budget and International Security, Committee on Governmental Affairs, U.S. Senate (Sep. 30, 2004)

Testimony on financial products sold to military personnel, before the Subcommittee on Capital Markets, Insurance and Government Sponsored Enterprises, Committee on Financial Services, U.S. House of Representatives (Sep. 9, 2004)

Testimony on 529 plan regulation, before the Subcommittee on Capital Markets, Insurance and Government Sponsored Enterprises, Committee on Financial Services, U.S. House of Representatives (June 2, 2004)

Testimony on the need for mutual fund legislation, before the Committee on Banking, Housing and Urban Affairs, U.S. Senate (Mar. 23, 2004)

Testimony on the mutual fund scandal, before the Subcommittee on Capital Markets, Insurance and Government Sponsored Enterprises, Committee on Financial Services, U.S. House of Representatives (Nov. 4, 2003)

Testimony on the mutual fund scandal, before the Subcommittee on Financial Management, the Budget and International Security, Committee on Governmental Affairs, U.S. Senate (Nov. 3, 2003)

Testimony on The Mutual Funds Integrity and Fee Transparency Act of 2003, before the Subcommittee on Capital Markets, Insurance and Government Sponsored Enterprises, Committee on Financial Services, U.S. House of Representatives (June 18, 2003)

Other Government-Related Testimony

Testimony of Fund Democracy before the Department of Labor (Aug. 10, 2015)

Testimony of Fund Democracy before the Advisory Council on Employee Welfare and Pension Benefit Plans, Department of Labor (Aug. 5, 2004)

Testimony on mutual fund legislation, before the Committee on Economic Matters, Maryland House of Delegates (Mar. 28, 2001)

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